



# Real-time Foreground-Background Segmentation Using Codebook Model

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and L. Davis

*Real-Time Imaging, 2005*

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July 16, 2019



# Outline

- Introduction
- Codebook Definition
- Codebook Construction
- Subtraction Process

# Introduction

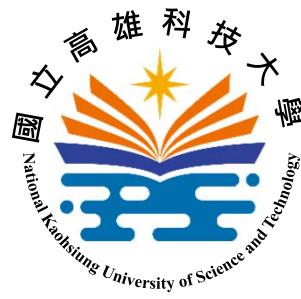
- About Background Subtraction
  - **Assumption:** camera is stationary
  - **Objective:** segment the region of interests (foreground) from the background scenes

original image

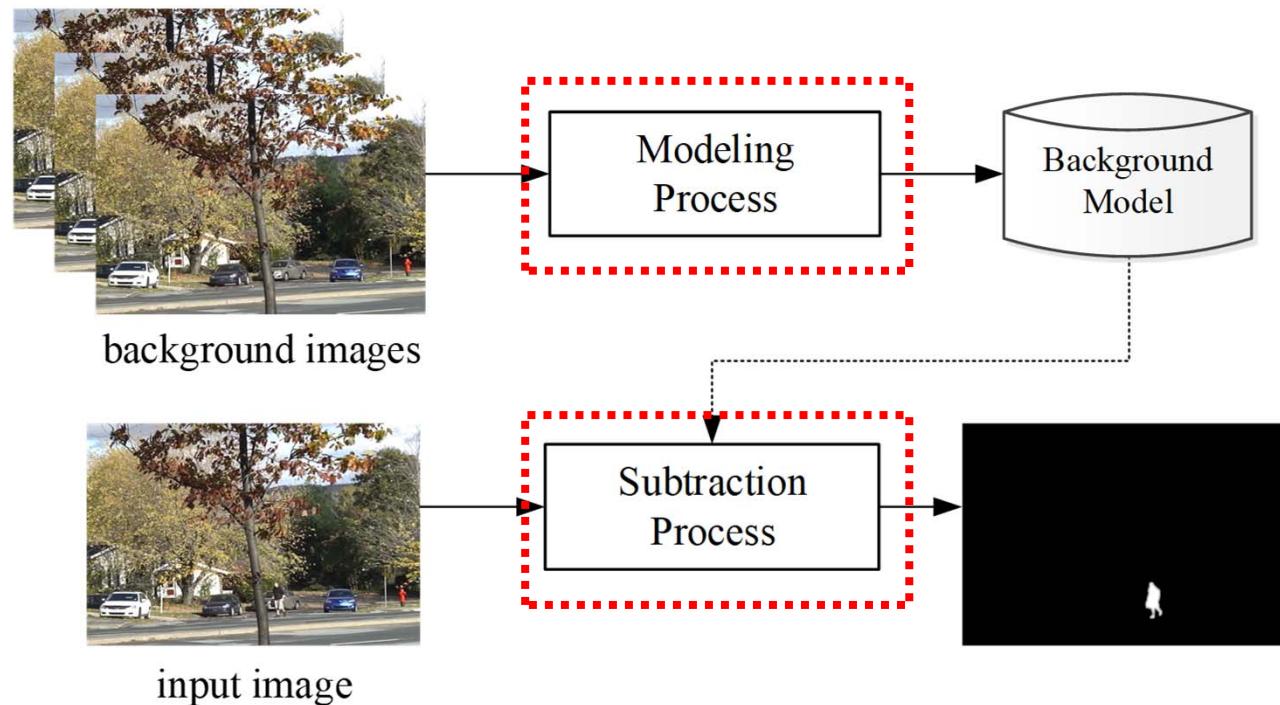


foreground mask

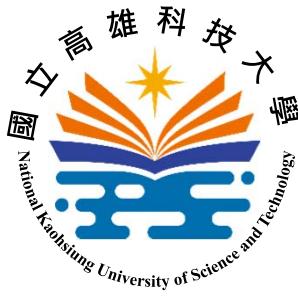




# Introduction



**Subtraction Process:** subtract the background  
describing the background observed image.

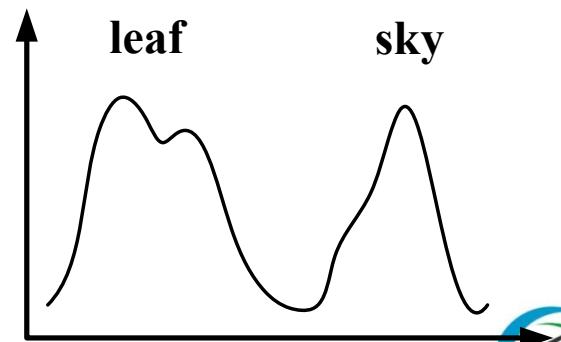


# Introduction

- About Background Subtraction
  - The major challenge in background subtraction is dynamic background.
    - contains non-stationary background objects
    - makes it unfeasible to model intensity of a point by a unimodal distribution.

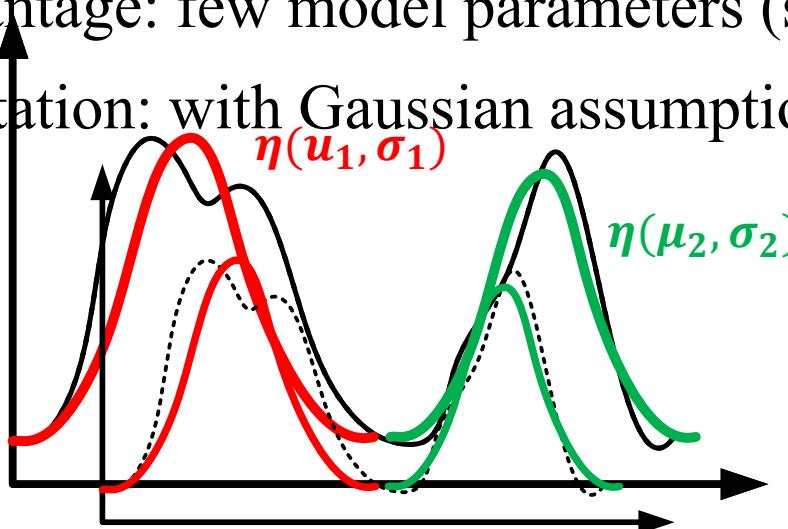


leaf  
sky



# Introduction

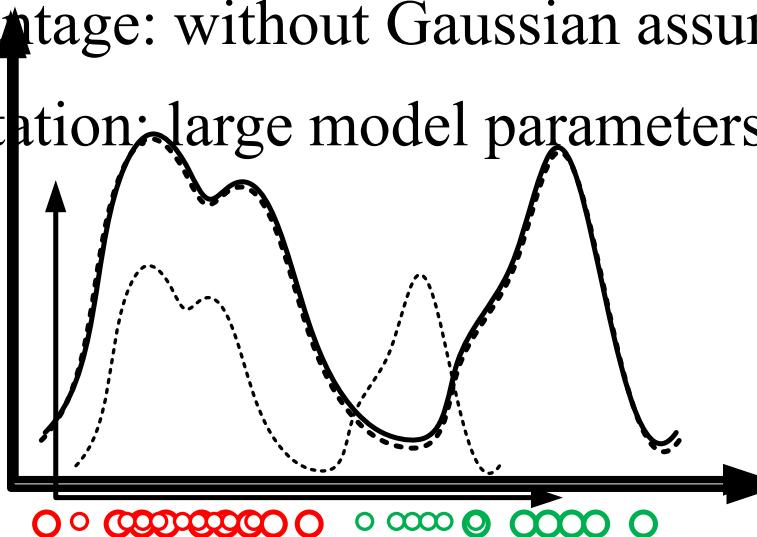
- Dynamic Background Handling
  - Gaussian Mixture Models (GMMs): approximate actual distribution by several Gaussians
    - Advantage: few model parameters (small memory)
    - Limitation: with Gaussian assumption



C. Stauffer and W. Grimson, “Adaptive Background Mixture Models for Real Time Tracking”, *CVPR*, 1999.

# Introduction

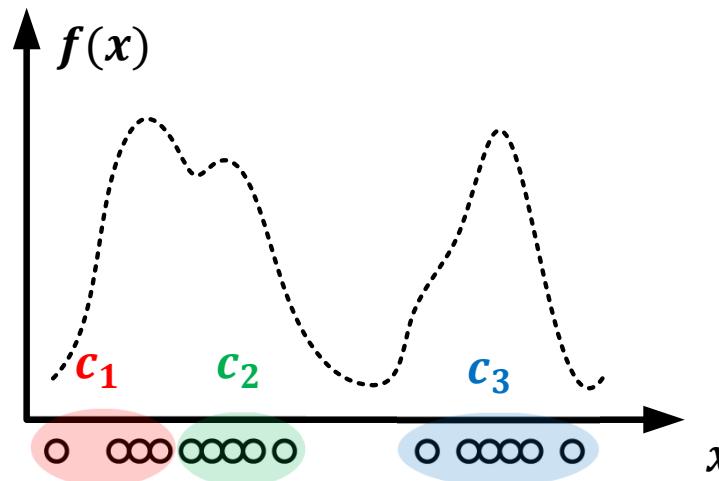
- Dynamic Background Handling
  - Non-Parametric Model: approximate actual distribution by a period of samples
    - Advantage: without Gaussian assumption
    - Limitation: large model parameters (large memory)



A. Elgammal, D. Harwood, and L. Davis, “Non-Parametric Model for Background Subtraction”, *ECCV*, 2000.

# Introduction

- Idea
  - similar to non-parametric model: approximate distributions by a sample set.
  - similar to GMM: describe the sample set by a compact form (called codebook)



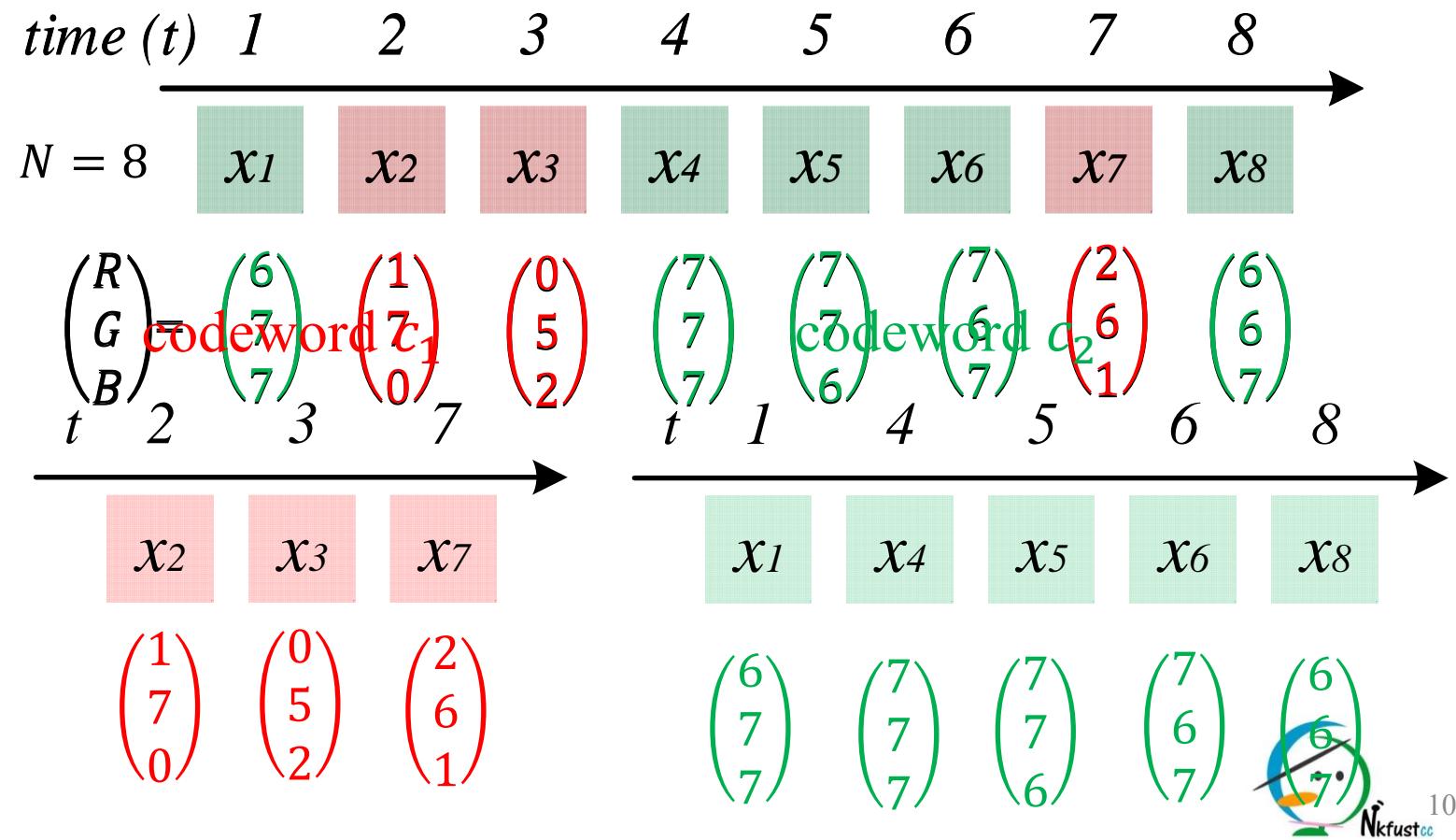


# Codebook Definition

- About Codebook  $B$ 
  - Let  $\{x_1, x_2, \dots, x_N\}$  be a sequence of  $N$  background RGB-vectors of a single point
  - A codebook  $B$  is used to describe  $\{x_1, x_2, \dots, x_N\}$  by  $L$  codewords  $\{c_1, c_2, \dots, c_L\}$ .
  - Each codeword stands for part of background RGB-vectors.

# Codebook Definition

- About Codebook  $B$





# Codebook Definition

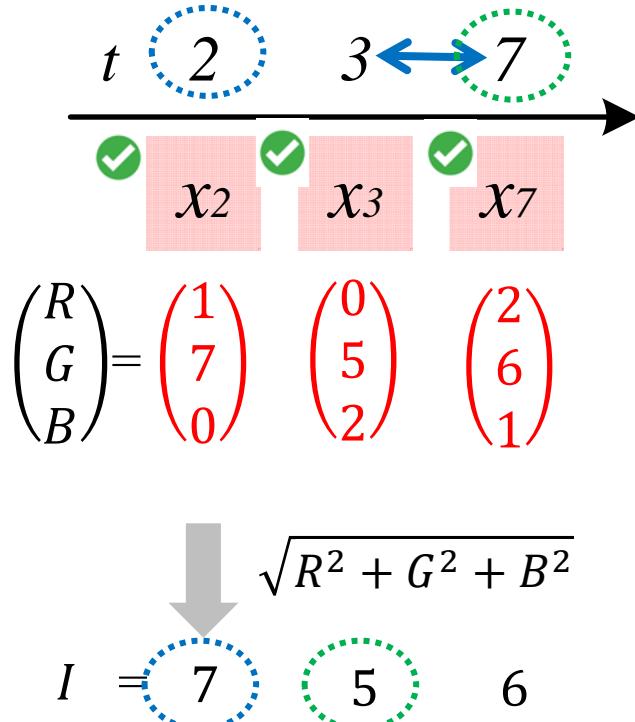
- About Codeword  $c_i$ 
  - A codeword  $c_i$  models the sequence of RBG vectors in  $i$ th cluster
    - intensity property
    - temporal property
  - Codeword Definition:  $c_i = (\mathbf{v}_i, \mathbf{aux}_i)$ 
    - $\mathbf{v}_i = (\bar{R}_i, \bar{G}_i, \bar{B}_i)$ : mean RGB vector
    - $\mathbf{aux}_i = <\check{l}_i, \hat{l}_i, f_i, \lambda_i, p_i, q_i>$

min/maximum  
Frequency  
brightness

maximum negative  
first/last  
length (MNRL)  
access time

# Codebook Definition

- About Codeword  $c_i = (\mathbf{v}_i, \mathbf{aux}_i)$



$$\mathbf{v}_i \equiv \frac{1}{3} \left( \begin{pmatrix} 1 \\ 7 \\ 0 \end{pmatrix}^T \bar{\mathbf{B}}_{\mathcal{A}}^{\dagger} + \begin{pmatrix} 0 \\ 5 \\ 2 \end{pmatrix}^T + \begin{pmatrix} 2 \\ 6 \\ 1 \end{pmatrix}^T \right) = \begin{pmatrix} 1 \\ 6 \\ 1 \end{pmatrix}^T$$

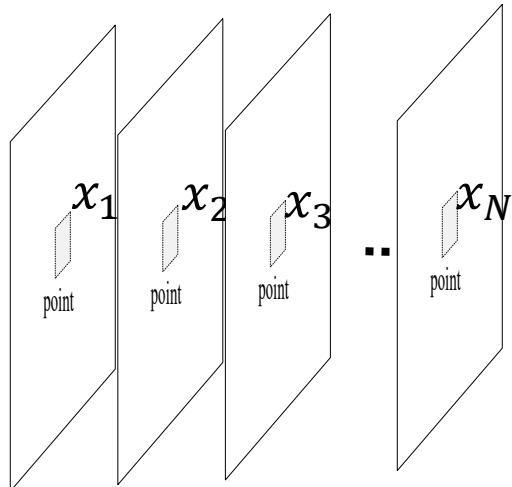
$$\mathbf{aux}_i = < \check{I}_i, \hat{I}_i, f_i, \lambda_i, p_i, q_i >$$

- $\check{I}_i = 5, \quad \hat{I}_i = 7$
- $f_i = 3, \quad \lambda_i = 7 - 3 = 4$
- $p_i = 2, \quad q_i = 7$

# Codebook Construction

- Formulation

- Input:  $\{x_1, x_2, \dots, x_N\}$ : a sequence of  $N$  training RGB-vectors of a point
- Output:  $B = \{c_1, c_2, \dots, c_L\}$



may contain foreground objects

incremental clustering  
 + background codeword selection

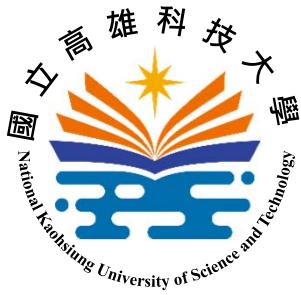
$$c_1 = (\mathbf{v}_1, \mathbf{aux}_1)$$

$$c_2 = (\mathbf{v}_2, \mathbf{aux}_2)$$

$$c_3 = (\mathbf{v}_3, \mathbf{aux}_3)$$

⋮

$$c_L = (\mathbf{v}_L, \mathbf{aux}_L)$$



# Codebook Construction

- Incremental Clustering
  - Initialization:  $B \leftarrow \emptyset$  (empty set)
  - Iterative Step ( $t = 1, 2, \dots, N$ ): sequentially match observation  $x_t$  to all codewords  $c_i \in B$ 
    - Case 1 (no match): create a new codeword
    - Case 2 (match found): update the first matched codeword  $c_m$  and move it to the first codeword in  $B$



# Codebook Construction

- Case 1 (no match)
  - create a new codeword  $c = (\mathbf{v}, \mathbf{aux})$  using  $x_t$ 
    - $\mathbf{v} \leftarrow x_t = (R_t, G_t, B_t)$
    - $\mathbf{aux} \leftarrow < I_t, I_t, 1, t - 1, t, t >$

$$\xrightarrow{\begin{array}{c} \cdots \\ \cdots \\ x_2 \end{array}} \quad \begin{array}{c} t=2 \\ \longrightarrow \end{array}$$

$$\begin{pmatrix} R_2 \\ G_2 \\ B_2 \end{pmatrix} = \begin{pmatrix} 1 \\ 7 \\ 0 \end{pmatrix}$$
$$I_2 = 7$$

$$\mathbf{v} = < 1, 7, 0 >$$
$$\mathbf{aux} = < \check{I}, \hat{I}, f, \lambda, p, q >$$

- $\check{I} = \hat{I} = 7$
- $f = 1, \quad \lambda = 2 - 1 = 1$
- $p = 2, \quad q = 2$



# Codebook Construction

- Case 2 (match found)
  - update first matched  $c_m = (\mathbf{v}_m, \mathbf{aux}_m)$ 
$$\mathbf{v}_m = (\bar{R}_m, \bar{G}_m, \bar{B}_m) \quad \mathbf{aux}_m = < \check{I}_m, \hat{I}_m, f_m, \lambda_m, p_m, q_m >$$

  $x_t = (R_t, G_t, B_t)$

$$\mathbf{v}_m = \left( \frac{f_m \times \bar{R}_m + R_t}{f_m + 1}, \frac{f_m \times \bar{G}_m + G_t}{f_m + 1}, \frac{f_m \times \bar{B}_m + B_t}{f_m + 1} \right)$$

$$\mathbf{aux}_m = < \min(\check{I}_m, I_t), \max(\hat{I}_m, I_t), f_m + 1, \\ \max(\lambda_m, t - q_m), p_m, t >$$



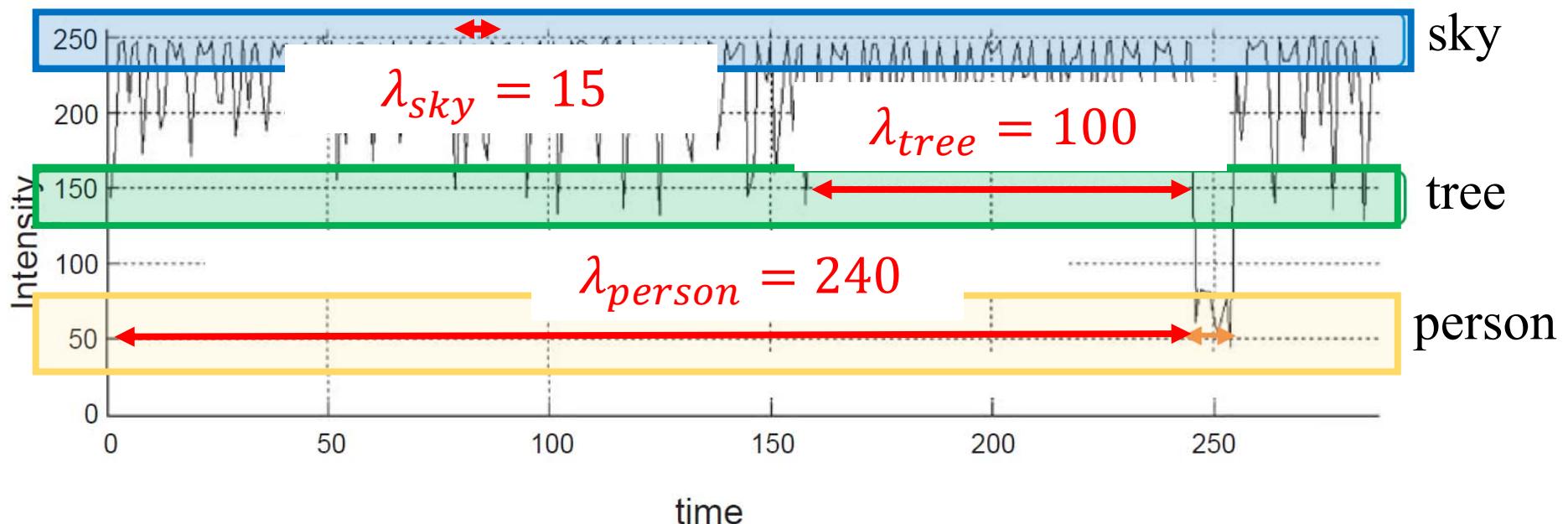
# Codebook Construction

- Case 2 (match found)

$$\begin{array}{c} \xrightarrow[t=3]{\quad} \\ \xrightarrow{\quad} \boxed{x_3} \end{array} \quad \begin{aligned} \mathbf{v}_m &= <1, 7, 0> \\ \mathbf{aux_m} &= <\check{I}_m = 7, \hat{I}_m = 7, f_m = 1, \\ &\quad \lambda_m = 1, p_m = 2, q_m = 2> \\ \downarrow & x_3 = (0, 5, 2) \\ \mathbf{v}_m &= <\frac{1 \times 1 + 0}{1 + 1}, \frac{1 \times 7 + 5}{1 + 1}, \frac{1 \times 0 + 2}{1 + 1}> \\ \mathbf{aux_m} &= <\check{I}_m \min(5, 5), \hat{I}_m \max(7, 5), \lambda_m + 1, \\ &\quad \lambda_m = \max(1, 3 - 2, 2, 33)> \end{aligned}$$

# Codebook Construction

- Background Codeword Selection
  - Objective: select codewords representing the static or dynamic background points.





# Codebook Construction

- Background Codeword Selection
  - **Observation:** true background is quasi-periodic, that is, values recur in a bounded period
  - **Rule:** take the codewords with small  $\lambda$

$$B = \{c_m | \lambda_m \leq T\}$$

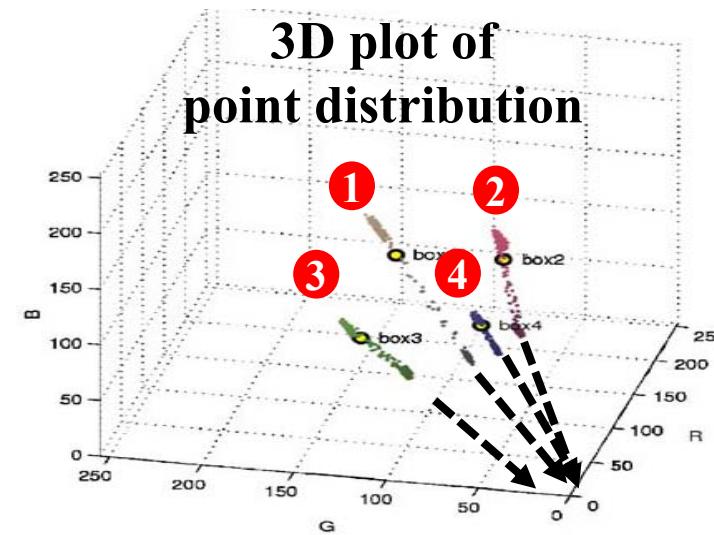
- $T$ : a threshold value (half the number of training frames  $N/2$ )

# Subtraction Process

- Color Model of a Codeword
  - The shape of point intensity distribution has two attributes.
  - elongated
  - toward the origin (0,0,0)



color chart image

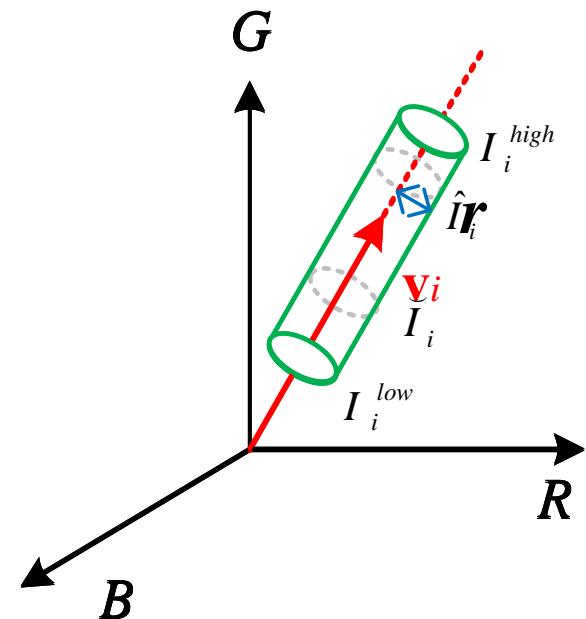


# Subtraction Process

- Color Model of a Codeword
  - The color model defined by  $c_i = (\mathbf{v}_i, \mathbf{aux}_i)$  is a cylinder.
  - $\mathbf{v}_i$ : major axis of cylinder
  - $\gamma$ : cylinder radius (constant)
  - $[I_i^{low}, I_i^{high}]$ : top/bottom bases

$$I_i^{low} = \alpha \hat{\mathbf{r}}_i \quad [0.4, 0.7]$$

$$I_i^{high} = \min(\beta \hat{\mathbf{r}}_i, \frac{\check{\mathbf{r}}_i}{\alpha}) \quad [1.1, 1.5]$$



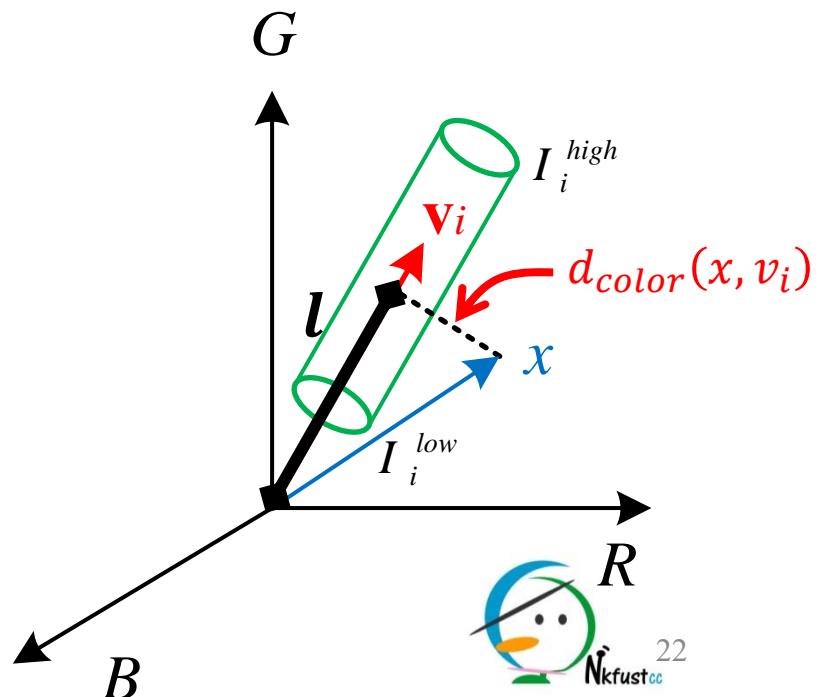
# Subtraction Process

- Match Definition  $M(x, c_i)$ 
  - $M(x, c_i) = 1 : x$  is in the  $c_i$ -defined cylinder.

- $d_{color}(x, \mathbf{v}_i) \leq \gamma$  and
- $I_i^{low} \leq ||x|| \leq I_i^{high}$

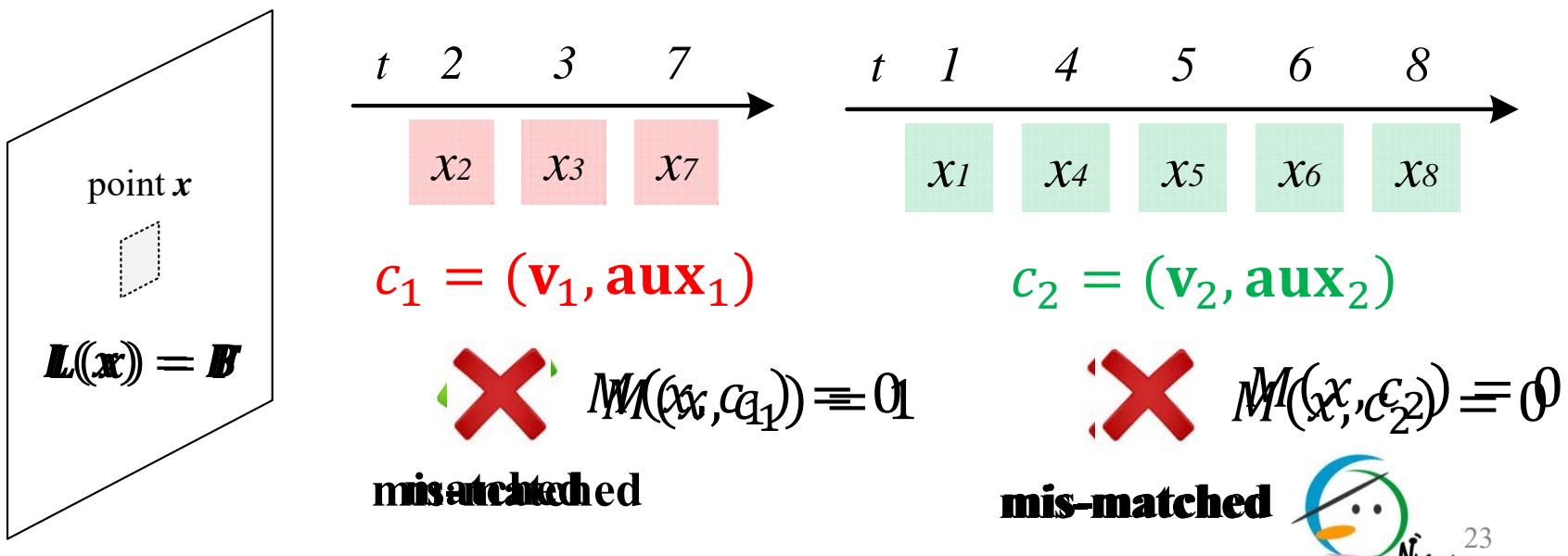
$$d_{color}(x, \mathbf{v}_i) = \sqrt{||x||^2 - l^2}$$

$$l^2 = \frac{\langle x, \mathbf{v}_i \rangle^2}{||\mathbf{v}_i||^2}$$



# Subtraction Process

- Point Labelling ( $L(x)$ )
  - $L(x) = B$ : at least one codeword is matched.
  - $L(x) = F$ : none of codewords are matched





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